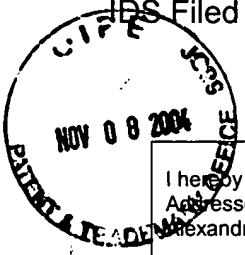


11-10-04

2132 #

Application No.: 09/870,801
IDS Filed November 8, 2004

PATENT
Customer No. 22,852
Attorney Docket No. 7451.0001-18
Intertrust Ref. No. IT-5.2.1.1 US



CERTIFICATE OF EXPRESS MAILING

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Signed: Mary A. McCauley
Mary A. McCauley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Karl L. GINTER et al.) Group Art Unit: 2132
)
Application No.: 09/870,801) Examiner: DARROW, Justin T.
)
Filed: June 1, 2001) Confirmation No.: 9392
)
For: SYSTEMS AND METHODS FOR)
SECURE TRANSACTION)
MANAGEMENT AND)
ELECTRONIC RIGHTS)
PROTECTION)
Commissioner for Patents
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Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached listing. To the best of our knowledge, this Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

As a result of the Microsoft litigation, summaries of reference titles and copies of some of those references were provided to Applicants (See Tab 2, Exhibit A of the Final Notice Regarding Related Litigation originally submitted to the Office on March 26, 2004, pertaining to InterTrust Technology Corp. v. Microsoft Corp., C 01-1640 SBA, N. D. Ca). The references listed on the attached form PTO-1449 represent all available references, not yet submitted in this case, of which Applicants are aware. The listed references are provided in electronic format via one (1) CD-ROM attached hereto.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

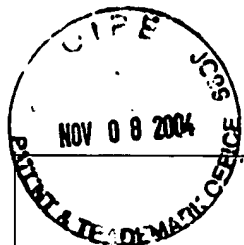
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: November 8, 2004

By: 
Andrew B. Schwaab
Reg. No. 38,611

Finnegan, Henderson, Farabow,
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Washington, D.C. 20005
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OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Atty. Docket No.: 07451.0001-18000

Application No.: 09/870,801

Applicant: Karl L. Ginter, et al.

Filing Date: June 1, 2001

Group: 2132

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,748,561	5/31/1988	Brown			
	4,757,914	7/19/1988	Roth et al.			
	5,517,518	5/14/1996	Morson et al.			
	5,745,678	4/28/1998	Herzberg et al.			
	5,819,263	10/6/1998	Bromley et al.			
	5,842,173	11/24/1998	Strum et al.			

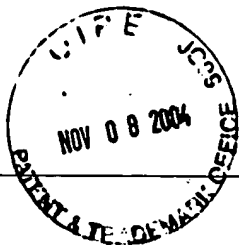
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Technology Center 2100**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
	AU-A-36815/97	2/19/1998	Australia			
	AU-A-36816/97	2/19/1998	Australia			
	AU-A-36840/97	2/19/1998	Australia			
	EP 0 913 757 A2	5/6/1999	EPO			
	WO 97/43761	11/20/1997	PCT			
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	WO 98/09209	3/5/1998	PCT			
	WO 98/10381	3/12/1998	PCT			
	WO 98/37481	8/27/1998	PCT			
	WO 98/45768	10/15/1998	PCT			
	WO 99/01815	1/20/1999	PCT			

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Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
	WO 99/24928	5/23/1999	PCT			
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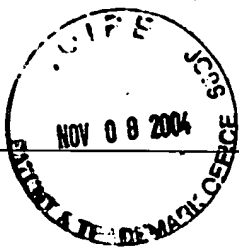
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Atty. Docket No.: 07451.0001-18000

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Applicant: Karl L. Ginter, et al.

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